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## CEU Open Access Policy

Open Access is central to Central European University's mission as a research-intensive university with a commitment to social engagement and the values of open society. As an initiator and signatory of the [Budapest Open Access Initiative](#) (BOAI) in 2002<sup>1</sup> and a signatory of the [Berlin Declaration on Open Access to Knowledge in the Science and the Humanities](#) in 2003,<sup>2</sup> CEU remains committed to support free, immediate, and unrestricted online access to its community's scholarly works for the long term.

CEU acknowledges that the scholarly communication ecosystem is shared by authors, research institutions, publishers, funders, and the audiences they wish to reach. Open access is the vehicle for sharing research results most widely for greater impact and unfettered worldwide access to advance knowledge and for the public good. To this end, CEU actively participates in national and international efforts with key stakeholders to further these aims, regularly renews its OA Policy,<sup>3</sup> pursues an open access agenda for the CEU Press,<sup>4</sup> and requires open deposit of CEU doctoral and master's theses.<sup>5</sup>

Through the Office of the Pro-Rector for Faculty and Research, and its designees, CEU provides its researchers with a variety of open access strategies to support compliance with this Policy and funder mandates.<sup>6</sup> These include: an advanced standards-based technical infrastructure, such as an institutional repository, scholarly publishing support services, and financial incentives to support open scholarship. The Office of the Pro-Rector for Faculty and Research is responsible for interpreting this Policy, addressing questions concerning its interpretation and application, and recommending changes.

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<sup>1</sup> Budapest Open Access Initiative (BOAI), Budapest 2002: <https://www.budapestopenaccessinitiative.org/read>.

<sup>2</sup> Berlin Declaration on Open Access to Knowledge in the Science and the Humanities, Berlin 2003: <https://openaccess.mpg.de/Berlin-Declaration>.

<sup>3</sup> This Policy updates the CEU "Policy on Open Access to Scholarly Publications and CEU Publications Database and Repository" (P-1103-2 v 1211) which entered into force on April 6, 2011.

<sup>4</sup> Opening the Future: A New Model for Open Access Books: <https://ceup.openingthefuture.net/>.

<sup>5</sup> Beginning Academic Year 2006/2007, CEU required all candidates for a CEU Academic degree to deposit the electronic version of their submitted thesis into the CEU Electronic Theses and Dissertations Collection.

<sup>6</sup> The CEU Policy strives to be compatible with the requirements and best practices of key external funders such as those specified by the European Commission in "Open Access & Data Management" in the Horizon 2020 Online Manual ([https://ec.europa.eu/research/participants/docs/h2020-funding-guide/cross-cutting-issues/open-access-dissemination\\_en.htm](https://ec.europa.eu/research/participants/docs/h2020-funding-guide/cross-cutting-issues/open-access-dissemination_en.htm)) and the "Open Access Policy" of the FWF Austrian Science Fund (<https://www.fwf.ac.at/en/research-funding/open-access-policy>).

## Definitions and terms

- This Policy pertains to scholarly works authored or co-authored while an author is affiliated with CEU.
- As originally defined by the BOAI in 2002 and reiterated in 2012, open access to peer-reviewed scholarly literature means: “its free availability on the public internet, permitting any users to read, download, copy, distribute, print, search, or link to the full texts of these articles, crawl them for indexing, pass them as data to software, or use them for any other lawful purpose, without financial, legal, or technical barriers other than those inseparable from gaining access to the internet itself. The only constraint on reproduction and distribution, and the only role for copyright in this domain, should be to give authors control over the integrity of their work and the right to be properly acknowledged and cited.”<sup>7</sup>
- Current definitions of “open access” have expanded to encompass online access to “research data (data underlying publications, curated data and/or raw data).”<sup>8</sup>

## Policy Guidelines

- CEU researchers are mandated to deposit a version of each of their scholarly articles in CEU’s repository or another reliable repository, providing there is no legal prohibition to do so. The mandated version of an article is the final, peer-reviewed author’s version, also known as the author’s accepted manuscript.
- Other research outputs are encouraged to be deposited if legally admissible. These may include but is not limited to monographs, book chapters, working papers, datasets, software packages, and posters.
- In the case that a publication is fully open access a link to the final, published version will also be provided.
- If a researcher deposits in a repository that is subject, theme-based, or content-specific, such as arXiv, Dataverse, PhilArchive, or Zenodo, as a preference or as mandated by a funder, metadata and link will be included in the CEU repository and a copy may also be sought by library staff for deposit at no burden to the author.
- Deposits should be made as early as possible after acceptance and no later than the date of formal publication.
- A deposit in a trusted repository cannot be waived but may only be embargoed for a specified period if required by the publisher and after consultation with the Office of the Pro-Rector for Faculty and Research or designee.

## Authors

- CEU authors retain the freedom to choose the most suitable publication venue for their scholarly works. At the same time, CEU encourages members of its community to consider the growing corpus of high-quality, peer-reviewed open access journals and open access publishers.
- Authors should retain the rights for their own work in publishing contracts with university support, especially the right to publish in an open access repository, while granting sufficient

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<sup>7</sup> Budapest Open Access Initiative after 10 years (BOAI10), Budapest 2012: <https://www.budapestopenaccessinitiative.org/boai-10-recommendations>.

<sup>8</sup> “Open Access & Data Management” in the Horizon 2020 Online Manual on Open Access ([https://ec.europa.eu/research/participants/docs/h2020-funding-guide/cross-cutting-issues/open-access-data-management/open-access\\_en.htm](https://ec.europa.eu/research/participants/docs/h2020-funding-guide/cross-cutting-issues/open-access-data-management/open-access_en.htm)).

rights to publishers. Open licenses should be considered, such as [Creative Commons](#),<sup>9</sup> which offer broad access and reuse rights to others while crediting the original author.

- Authors are strongly encouraged to create a Google Scholar profile and use a persistent, non-proprietary, open, and interoperable researcher identifier, such as [ORCID](#),<sup>10</sup> that is increasingly required in manuscript submission systems, grant applications, and repository systems.
- Compliance with the CEU open access policy will be monitored by academic units and the appropriate university committees for purposes of evaluating research output.

### University Support

- CEU provides the standards-based technical infrastructure required and continuous development to ensure interoperability with international databases, consortial agreements, subject repositories, and evolving best practice.
- Scholarly publishing support services will be provided through the CEU Library including education and training in open access best practices, metadata standards, researcher identifiers, DOIs; versions for deposit; rights retention, and open licensing terms.
- Blinken Open Society Archives will assist the CEU Library in the long-term preservation of deposited scholarly works.
- CEU is committed to providing legal advice to authors in matters of contracts, license agreements, and copyright.
- CEU supports its researchers in all aspects of complying with funders who require open access to research outputs as a condition of grant acceptance, including advisory support for research data.

Signed by *CEU President and Rector Shalini Randeria*.

The original document is filed at the Office of the Academic Secretary.

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<sup>9</sup> Creative Commons: <https://creativecommons.org/licenses/>.

<sup>10</sup> ORCID: <https://info.orcid.org/benefits-for-researchers/>.

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